

Tally of Cold Fusion Papers

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Abstract

This document contains a tally of cold fusion papers from two sources: the list maintained by Dieter Britz at Aarhus U., and the EndNote database used to generate the indexes at LENR-CANR.org. Various tallies such as the number of peer-reviewed experimental papers are presented.

Purpose

This report presents some background and a breakdown of the items in two databases of cold fusion papers: the Britz collection, and the LENR-CANR database. The purpose is to give the reader a sense of the scale, variety, and sources of the material available about this subject. This is also intended to give some indication of how much has been published on cold fusion, where it was published, and approximately how many positive and negative papers have been published.

This paper includes the following tallies:

1. Summary statistics for the LENR-CANR database
2. Positive, peer-reviewed excess heat papers culled from both databases.
3. Papers from Britz collection.
4. Famous failed neutron studies from 1989. These had a large influence on scientific opinion and the subsequent history of the field, but many cold fusion researchers believe they were flawed and should not be given weight today.

Details from these four tallies are gathered in Appendix A. They include multipage lists of journal titles, authors and the individual titles of papers referenced in the four tallies.

Sources

This data is compiled from two databases:

1. Britz's Cold Nuclear Fusion Bibliography (the Britz collection) which is located on the web page of the Chemistry Department at Aarhus University, at <http://www.chem.au.dk/~db/fusion/>.
2. The LENR-CANR database, in EndNote format.

The Britz collection consists of nine bibliographies of cold fusion related material:

1. Books
2. Journal Articles (from peer-reviewed journals only)
3. Patents
4. Magazine & newspaper comments
5. Peripherals (papers that relate to cold fusion but are not directly about it)
6. ICCF-4 papers, from the *Transactions of Fusion Technology*
7. Sonoluminescence
8. Cluster impact fusion
9. The Filimonov collection (Russian work).

In this study I tallied data from bibliographies number 2 and 6. As of December 21, 2008 bibliography 2 included 1,390 peer-reviewed (refereed) journal papers. Bibliography 6 was compiled in 1994 and includes 66 items.

The LENR-CANR database is in the EndNote format. ¹ It was originally compiled by E. Storms. As of April 2009, it includes 3,575 items. It includes peer-reviewed journal papers plus a much broader selection of resources such as proceedings papers, papers from non-peer reviewed journals, reports issued by national laboratories and the U.S. Navy, books, some newspaper articles, and a few records of television broadcasts.

I updated the original Storms database, and cross-checked it against the Britz collection. I added some (but not all) proceedings papers published subsequently, and papers from various other sources such as the Bhabha Atomic Research Centre (BARC). I also added papers sent by authors to be uploaded, and titles they asked me to add. The database lists everything that has been added to the on-line collection of papers, which includes things like PowerPoint slides from conferences, and some papers that have little or nothing to do with the science of cold fusion, such as a useful guide to energy published by NREL ² and an autobiographical essay by J. O'M. Bockris. ³

The LENR-CANR database is comprehensive but not exhaustive. It is not intended to be an authoritative listing of every paper ever published about cold fusion. It is a tool used to maintain the website and to write papers about cold fusion. I have several hundred papers that I have not added to the database for various reasons, mainly because in my opinion these papers are unimportant. They would probably not be of much interest to readers and I do not plan to upload them. Most of these unlisted papers are in conference proceedings. Some are in foreign languages that cannot easily be entered into EndNote or transcribed, especially Japanese.

The database contains a small number of items that are not directly related to cold fusion. These are included because they are referenced by other books and papers in the literature. Here is an example: Jung, P., *Fundamental Aspects of Inert Gasses in Solids Diffusion and Clustering of Helium in Noble Metals*, ed. S.E. Donnelly and J.H. Evans. 1991: Plenum Press, NY Jung.

The main focus of LENR-CANR is on the experimental literature, rather than theory. The database includes nearly every experimental paper published in peer-reviewed mainstream journals. We may have left out a few peer-reviewed theory and review papers. We have probably included most of the important experimental papers from the conference proceedings, but not all theory, review and history papers.

Some of the totals in this document are approximate. As noted above, our purpose is not to track down and record exactly how many papers have been published about this subject. There are various inaccuracies in the database such as the same book listed twice when different editions were published. Assigning categories to the papers is sometimes problematic. Some papers are difficult to categorize as positive or negative. Many results are mixed and in some cases even the author does not reach a firm conclusion.

There are discrepancies between the Britz and LENR-CANR databases because of differences of opinion. We categorize some papers as “refereed” (peer-reviewed) that Britz deemed not refereed enough to make the cut, such as the ones in ICCF-4 *Transactions of Fusion Technology*, Vol. 26T (1994). As noted above, he moved these papers into a separate database titled “ICCF4”

Because of these inaccuracies, the numbers of papers in various categories should be taken as a general trend and not a precise total.

1. Summary statistics for the LENR-CANR database

As of April 2009, the LENR-CANR database lists 3,575 items. They are broken down into 12 categories such as Journal Article and Conference Proceeding. The numbers of items in the 5 major categories are shown in Table 1.

Table 1. Number of items in major categories in LENR-CANR database

Category	Number of items
Journal Article	2,066
Conference Proceedings paper	1,250
Report	87
Newspaper Article	53
Book	49
Other (magazine articles, videos, etc.)	70

The database lists 4,752 authors.

Most of the Journal Articles, Conference Proceedings papers and Reports are written by multiple authors. In many cases a group of authors have written several papers, and groups from institutions such as the NRL, SRI and Energetics Technologies have collaborated to coauthor some major papers.

Most newspapers articles, books and “others” have only one author. Since there are 172 items in these categories, that comes to roughly 200 authors. The other 4,500 authors wrote the 3,403 articles, proceedings and reports. Groups of them often wrote several papers, so the average number of authors per paper is high. This reflects the multidisciplinary nature of cold fusion research. A project that requires expertise in calorimetry, electrochemistry and neutron detection should be done by at least two or three senior researchers. Most of these studies have been conducted with such groups. Many experiments also employ graduate students, as usual, but perhaps not as many as you would find in a less controversial area of research. More often than usual, senior professors do their own lab work.

There are 470 Journals in the database. Storms and I did not distinguish between peer-reviewed and non-peer-reviewed journals. All of the journals are listed in the Appendix A, List 1, so the reader is welcome to categorize them.

Britz, Morrison and others have noted that the number of cold fusion papers published per year declined rapidly after 1989. Morrison and others claim this is a symptom of “pathological science.” (Britz does not make this claim.)⁴ Most cold fusion researchers feel that it is caused by academic politics and opposition to the research. Britz and Morrison published graphs showing a sharp decline in peer-reviewed papers. These graphs are probably accurate, but the data in the LENR-CANR database does not agree with them. Figure 1 shows the Britz collection papers tallied by year. Figure 2 shows the papers in the LENR-CANR database tallied by year of publication. This does not reflect the trends in peer-reviewed papers and it probably does not reflect actual overall totals, because our database is skewed in favor of recent proceedings papers. We did not enter many papers published in early proceedings, or in more recent proceedings that I did not edit, such as ICCF-13.

Papers per year, Britz

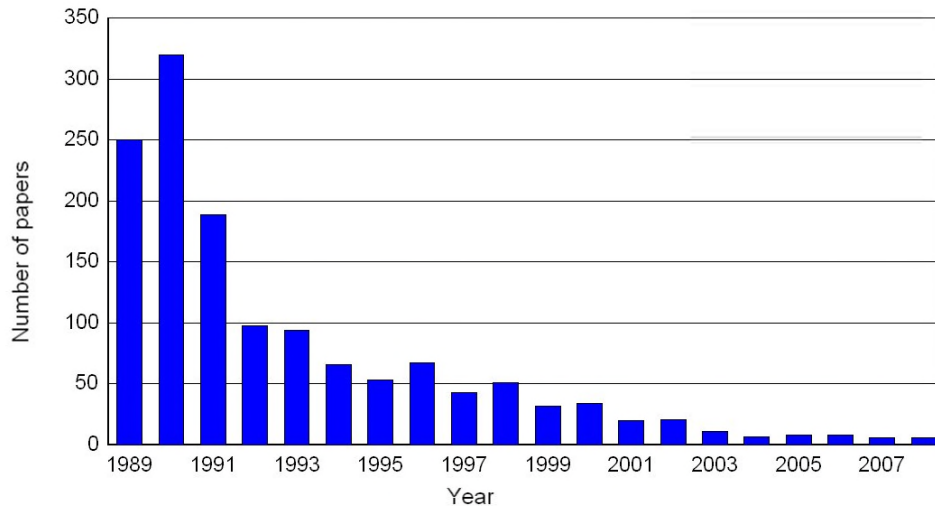


Figure 1. Papers in Britz Bibliography 2 Journal Articles published per year. Data courtesy D. Britz

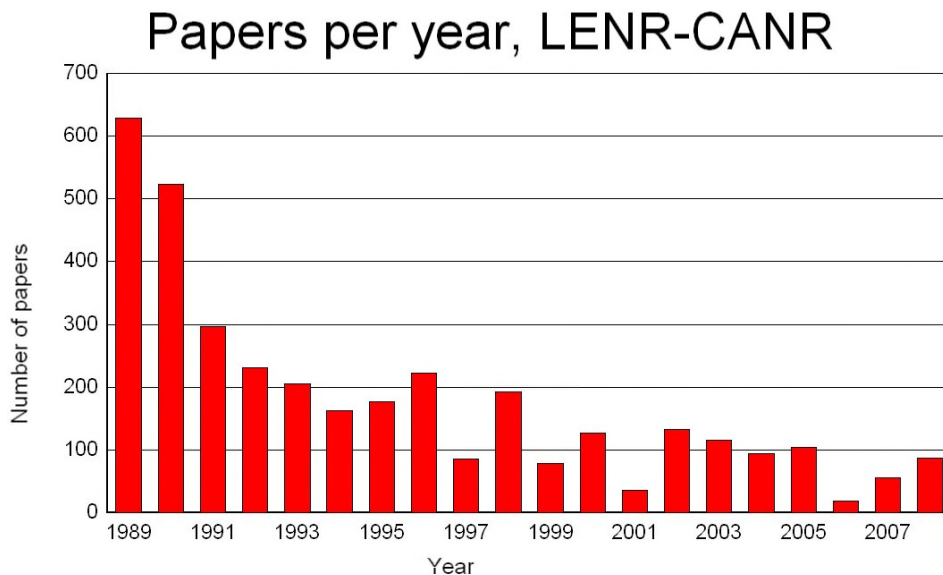


Figure 2. Papers in the LENR-CANR database published per year

Thousands of newspaper articles about cold fusion have been published, but we have added only 58. These have technical, scientific or historic significance. Storms added these items in order to reference them while writing books and papers, which was the original purpose of the database, and the customary purpose of the EndNote program. Most were written by Jerry Bishop (*Wall Street Journal*) or William Broad (*New York Times*). We added only 9 newspaper articles after 1994, even though hundreds were published, especially in 2008 and 2009.

The database includes 69 papers from *Infinite Energy* magazine, which is a small fraction of the total papers published there. Many papers in *Infinite Energy* are not directly related to cold fusion, and others we simply neglected to add.

There are 695 full text papers available for download at LENR-CANR.org. They are not representative of the full set of papers in the literature, or in our database. They skew toward informal sources such as conference proceedings, because these papers have fewer copyright restrictions. Compared to papers in the database, the full text papers include more mass media newspaper and magazine articles, and more papers for the layman.

The selection of papers at LENR-CANR.org is somewhat haphazard because the authors decide what they want us to upload. LENR-CANR.org is a library, not a journal. It is not selective. We do not endorse a paper by uploading it. We accept papers from harsh critics of the field as well as supporters. We accept both research papers and mass media articles. In most cases we will accept any paper that has been published in a conference proceedings, journal or magazine. We have imposed some selectivity by asking leading authors to contribute papers. Several of them did not wish to, and some were told by their publishers that it would violate copyright restrictions, so there are gaps in the collection. We urge readers who are seriously interested in this topic to read books about cold fusion and original source papers in a university library.

2. Positive, peer-reviewed excess heat papers culled from both databases

It has been widely reported in the mass media that cold fusion was never replicated, and that peer-reviewed papers on cold fusion have not been published. The primary claim made by Fleischmann and Pons in 1989 was the production of excess heat without chemical changes. This tally shows that the claim was replicated and reported in the peer-reviewed literature.

This tally includes positive, peer-reviewed papers describing excess heat experimental results only. It does not include things such as: negative papers describing null results; papers describing tritium or other effects but not heat; theory papers; or non-peer reviewed papers published by national laboratories, corporations and in conference proceedings.

Papers reporting both excess heat and also tritium, neutrons and other effects are included in this tally.

The titles are culled from both the Britz and LENR-CANR database.

Totals from this tally include:

- 153 papers
- 49 journals
- 348 authors and co-authors
- 62 principle authors
- 51 affiliations of principal authors

There are 62 principal authors (Table 2). Altogether they have 51 affiliations, because a few authors come from the same laboratory, such as Kainthla and Lin, who were graduate students with Bockris at Texas A&M U. In some cases, multiple laboratories in the same institution are listed, such as Hokkaido U., Catalysis Res. Center and Hokkaido U., Engineering Dept. Researchers from these two laboratories worked and published independently of one another.

The 51 affiliations are laboratories at universities, national laboratories and corporations. However, researchers from many more than 51 institutions contributed to this set of papers. I only tallied the first author's affiliation, not those of coauthors. Many experiments are collaborations between researchers from two or three laboratories. For example, Melvin Miles of China Lake sent samples to be tested for helium to the University of Texas and the Bureau of Mines. He also collaborated and co-authored papers with researchers at the NRL, the Nuclear Safety Department of E.G.&G. and elsewhere.

As noted above, this tally is of peer-reviewed papers only. Many more papers have been published in non-peer reviewed sources. Some of the positive papers in this non-reviewed literature are, in my opinion, better researched and better written than that the average peer-reviewed paper, especially speculative peer-reviewed papers. Noteworthy non-peer reviewed papers include Claytor *et al.*⁵ and the Miles and Johnson.⁶ They deserve to be published. Therefore, these numbers are more of an indication of how much journal editors resist publishing papers about cold fusion than a comprehensive tally.

Table 2. Principal researchers and their affiliations

Principal Researcher	Affiliation	Country
Aoki, T.	U. Tsukuba	Japan
Arata, Y.	Arata Hall, Osaka U.	Japan
Babu, K.S.C.	Banaras U.	India
Battaglia, A.	CISE spa (Piantelli et al.)	Italy
Belzner, A.	Stanford U. (Huggins et al.)	USA
Bertalot, L.	Associazione EURATOM-ENEA	Italy
Birgul, O.	Hacezepe U.	Turkey
Brudanin, V.B.	Joint Inst. For Nuclear Res.	Russia
Bush, B.F.	U. Texas	USA
Bush, R.T.	California State Polytechnic U.	USA
Celani, F.	INFN Frascati	Italy
Dash, J.	Portland State U.	USA
Dufour, J.	CNAM - Laboratoire des sciences nucléaires	France
Fleischmann, M.	U. Utah	USA
Focardi, S.	U. Bologna (Piantelli et al.)	Italy
Gozzi, D.	U. di Roma La Sapienza	Italy
Isagawa, S.	High Energy Accelerator Res. Org. (KEK)	Japan
Isobe, Y.	Osaka U., Nuclear Eng. Dept. (Takahashi et al.)	Japan
Iwamura, Y.	Mitsubishi Heavy Industries Ltd.	Japan
Iyengar, P.K.	Bhabha Atomic Research Centre	India
Kainthla, R.C.	Texas A&M U. (Bockris et al.)	USA
Kamada, K.	National Institute for Fusion Science	Japan
Karabut, A.B.	Scientific Industrial Association "Lutch"	Russia
Kunimatsu, K.	IMRA Japan	Japan
Lewis, D.	Studsvik Energiteknik AB	Sweden
Li, X.Z.	Tsinghua U.	China
Liaw, B.Y.	U. Hawaii	USA
Lin, G.H.	Texas A&M U. (Bockris et al.)	USA
Lipson, A.G.	Ins. Physical Chem., Russian Acad. Of Sciences	Russia
Lyakhov, B.F.	Ins. Physical Chem., Russian Acad. Of Sciences	Russia
Mathews, C.K.	Indira Gandhi Centre for Atomic Research	India
McKubre, M.C.H.	SRI, Inc.	USA
Mengoli, G.	CNR IPELP, Padova	Italy
Miao, B.	J. Northwest Normal U.	China
Miles, M.	Naval Air Warfare Center, China Lake	USA
Miley, G.H.	U. Illinois	USA
Mills, R.L.	BlackLight Power, Inc.	USA
Mizuno, T.	Hokkaido U., Engineering Dept.	Japan
Mosier-Boss, P.	SPAWAR Systems Center San Diego (Szpak et al.)	USA
Nakamura, K.	Kinki U., Atomic Energy Res. Institute	Japan
Noninski, V.	Lab. Electroch. (LEPGER)	Bulgaria
Notoya, R.	Hokkaido U., Catalysis Res. Center	Japan

Numata, H.	Tokyo Institute of Technology	Japan
Ohmori, T.	Hokkaido U., Catalysis Res. Center	Japan
Okamoto, M.	Tokyo Institute of Technology, Res. Lab. Nuclear Reactors	Japan
Oriani, R.A.	U. Minnesota	USA
Ota, K.	Yokohama Nat. University	Japan
Oyama, N.	Tokyo U. Of Agriculture & Technology	Japan
Pons, S.	IMRA France	France
Preparata, G.	U. Milano	Italy
Santhanam, K.S.V.	Tata Institute of Fundamental Research	India
Savvatimova, I.	Scientific Industrial Association "Lutch"	Russia
Scott, C.D.	Oak Ridge National Laboratory	USA
Shirai, O.	Kyoto U.	Japan
Srinivasan, M.	Bhabha Atomic Research Centre	India
Storms, E.	Los Alamos National Laboratory	USA
Swartz, M.R.	JET Energy Technology, Inc.	USA
Szpak, S.	SPAWAR Systems Center San Diego (Szpak et al.)	USA
Takahashi, A.	Osaka U., Nuclear Eng. Dept. (Takahashi et al.)	Japan
Velev, O.	Texas A&M U. (Bockris et al.)	USA
Yun, K.S.	Korea Institute of Science and Technology	Korea
Zhang, Q.	Sichuan Union University	China

Table 3 shows the countries and the number of principal authors in each country for this set of papers. Eleven countries are shown. The total number of authors is again 62.

Table 3. List of countries and the number of principal authors from each country

Country	Number of Primary Affiliations
Bulgaria	1
China	3
France	2
India	5
Italy	7
Japan	17
Korea	1
Russia	5
Sweden	1
Turkey	1
USA	19

Appendix A shows details from Tables 1 and 2:

List 2. Peer-reviewed excess heat papers, from both databases

List 3. Authors and co-authors of the peer-reviewed papers in List 2

List 4. Peer-reviewed journals of the papers in List 2

3. A Tally of Papers in the Britz collection

In tally number 1, above, I counted only papers devoted to excess heat; in this tally I counted all papers in the Britz collection 2 Journal Articles (from peer-reviewed journals only), and collection 6 ICCF-4 papers.

The Britz collection is a large text file, not a structured database. This makes it awkward to tally the number of entries and to categorize papers. I used a small Pascal program to tally the results.

Britz puts papers in various categories by marking them as “experiment, theory, polemic, review” and so on. He also characterizes the results for most papers by marking them as:

res+ a positive result
res- a negative result
res0 undecided

In an e-mail Britz explained that “res0” means “undecided.” This means the author did not reach a firm conclusion. It does not mean that Britz himself finds the paper inconclusive or unpersuasive. He also applied this “res0” tag to null results, such as T. Green,⁷ who did good quality calorimetry but found no excess heat. He explained that a negative paper is one which the author concludes that an experiment or theory casts doubt upon the existence of cold fusion itself.

Britz did not evaluate results for 418 papers, such as one that described a technique to measure loading in a cold fusion experiment, without reporting a specific positive or negative result.

A record in the Britz bibliography includes:

Author (or multiple authors)
Journal
Title (in quotes)
Category (or multiple categories) and result (res+, res- or res0)
A summary written by Britz, and submission/publication dates

The submission/publication dates are missing or incomplete in 383 papers. Here is a sample record:

McKubre MCH, Crouch-Baker S, Rocha-Filho RC, Smedley SI, Tanzella FL,
Passell TO, Santucci J;
J. Electroanal. Chem. 368 (1994) 55--66
"Isothermal flow calorimetric investigations of the D/Pd and H/Pd systems".
** Experimental, electrolysis, Pd, calorimetry, res+
Thought by many to be one of the most thorough studies in this area, and long
delayed in publication, this paper at last reports the results. A quality
isothermal flow calorimeter was used here, and D/Pd (or H/Pd) loadings were
monitored in situ by resistance measurements. The cells were closed, and
gases recombined within them, so that recombination was fully accounted for.
Excess powers were observed only for D/Pd above 0.9 and reached 28% input
power, but were typically about 5-10%, with the noise lying at about 1/20 the
excess power level. No excess power was observed under other conditions, the
output balancing the input within the error. 021993|041994

Britz puts many papers in multiple categories, such as this one, which he classified as “Experimental, electrolysis, Pd, calorimetry.” He concludes that this is a positive result (res+).

I tallied papers in two categories: “Experiment” which includes papers that were marked “experimental” plus other categories, and Other, which includes any paper *not* marked experimental (mainly theory, review and what Britz calls “polemic” papers). Table 4 shows the results for Bibliography 2, and Table 5 shows the results for bibliography 6.

Table 4. Bibliography 2 Journal Articles tally

Britz’s evaluation	Experiments	Other	Both
res+ a positive result	291	212	503
res- a negative result	215	66	281
res0 undecided	83	68	151
No evaluation	36	419	455
Totals	625	765	1,390

The grand total papers on Experiments plus Other is 1,390, which agrees with Britz’s count of the number of papers in the database.

Table 5. Bibliography 6 ICCF-4 tally

Britz’s evaluation	Experiments	Other	Both
res+ a positive result	23	17	40
res- a negative result	3	1	4
res0 undecided	7	3	10
No evaluation	1	11	12
Totals	34	32	66

The grand total number of papers is 66, which agrees with Britz’s count.

Figure 3 shows the positive, negative and undecided papers sorted by year. This includes 754 papers because some were not tagged by date, and the “No evaluation” category is not included. After 1991 few negative papers were published, presumably because researchers getting negative results had given up by this time.

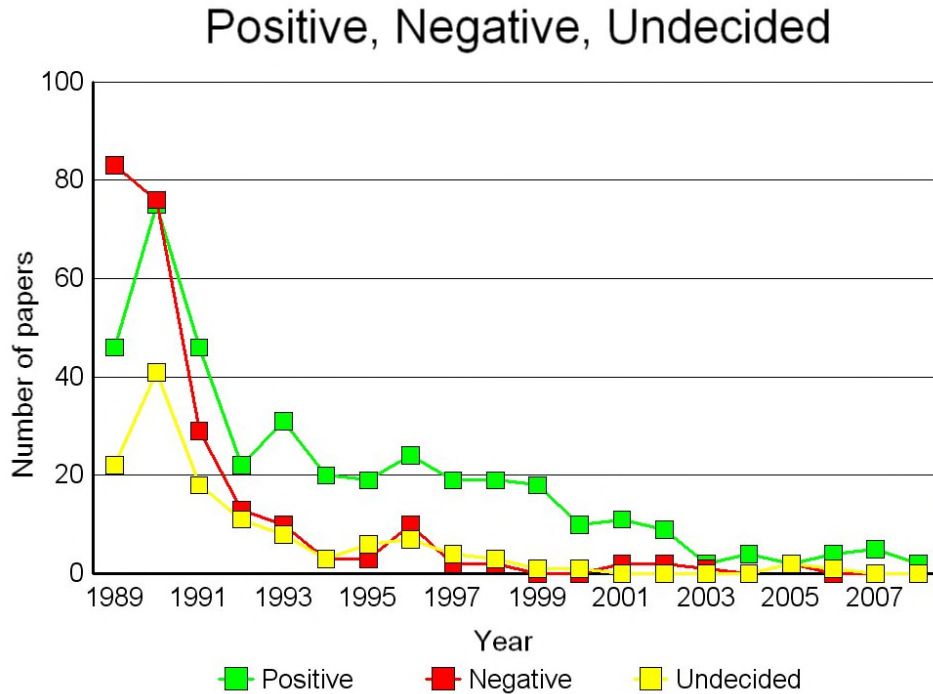


Figure 3. Britz Bibliography 2 Journal Articles, positive, negative and undecided, sorted by year

Most non-peer-reviewed papers from conference proceedings and national laboratories are positive. If you were to add these papers to the totals, positive papers after 1989 would far outnumber the negative ones.

I believe that the trend shown here is generally correct for peer-reviewed papers, and that most of the grades Britz applied to papers are correct. However, I disagree with a substantial number of his grades. I think that he is biased toward interpreting authors' comments as negative or undecided. In many cases, authors expressed some doubts or pointed out that open questions still remain, and Britz took this to mean that the authors were undecided, or that the results were null.

Classifying papers as positive or negative can be subjective. Even when you intend to evaluate and report the author's opinion rather than your own (which is what Britz wants to do) your own opinion can interfere and bias the result. This shows why it is important to read original sources rather than to depend upon other people to evaluate scientific claims, especially controversial claims.

I looked at a sample of 49 papers that Britz classified as undecided. In my opinion, 55% of them are positive. Some were strongly positive. Details and examples are shown in Appendix B.

4. Famous failed neutron studies from 1989

This is a tally of U.S. and Canadian research groups that published peer-reviewed papers in 1989 and 1990 describing cold fusion experiments in which:

1. Researchers looked for neutrons, particles or x-rays only, without looking for excess heat or tritium.
2. The experiment produced no positive results, or results the researchers considered within the noise.

There were 20 such groups with 135 researchers. They are listed in Table 6. There were other negative experiments in 1989, but there are no other peer-reviewed journal papers in the LENR-CANR database describing them. Mallove⁸ listed some others, such as the one at the Georgia Institute of Technology. This group probably never published. There were also some positive experiments in 1989 and later that were not published.

One or two groups reported ambiguous or what they called “interesting” results. They are not listed here. Other groups not listed here reported looking for excess heat and/or tritium and not finding any. This is the correct way to do the experiment, although in most cases they did not fulfill some other necessary condition; i.e., they did not run electrolysis long enough. A few of these researchers went on to report positive results later on.

One group on this list did, in a sense, look for excess heat along with other products: Albagli *et al.*, MIT. They performed calorimetry and their data shows low levels of excess heat. However, the published version of the data was manually changed to erase this evidence, and they claimed there was no heat.^{9,10} In my opinion this counts as “not looking” or perhaps “refusing to look.”

This list does not include Salamon *et al.*, U. Utah,¹¹ because they monitored cells run by Fleischmann and Pons. They did not detect any particles.

Since Fleischmann and Pons reported that they did detect neutrons and gammas, it was reasonable for these 20 groups of researchers to look for them. But in retrospect it is a shame that so much effort went into the search for products that we now know are almost never detected from cold fusion reactions.

Because little was known about cold fusion in 1989, many of these groups performed the experiment in ways that could not have succeeded.¹² This often happens with groundbreaking experiments.

In his book, Storms reported that some researchers who failed to replicate in 1989 were irate, and understandably so:¹³ “[T]he many failures and the serious errors found in the Fleischmann and Pons paper fueled a growing doubt about the original claims. Too many people had spent too much time to get so little. They were beginning to feel they had been had.” This list shows how many people there actually were — or at least it shows the lower bounds of the number of people looking for the lower bounds of fusion reactions.

It is, perhaps, unfair to include Campell & Perkins and Rugari in this list because they worked with titanium instead of palladium, and other researchers have observed nuclear effects with titanium without excess heat. I am not aware of any who subjected titanium to high-low currents the way Campell & Perkins did. It is good that they tried this. Many approaches should be explored, and there should be no fault or blame attached to a failed experiment. It is not my intention to condemn the researchers in this list, but only to point out that some failed experiments have had unwarranted influence over public opinion.

Table 6. Groups that looked for neutrons and without confirming excess heat in 1989

Principal author	Number Of People	Affiliation
Albagli	16	MIT
Anderson	11	Yale
Campbell	2	Lawrence Livermore N. L.
Deakin	5	Florida State U.
Dignan	4	San Francisco State U.
Ewing	4	Sandia N. L.
Faller	3	Env. Monitoring Systems Lab.
Fleming	5	AT&T Bell Labs.
Guilinger	9	Sandia N. L.
Hayden	10	U. British Columbia
Hill	11	Iowa State U.
Kashy	10	Michigan State U.
Porter	8	U. California Berkeley
Rehm	3	Argonne N. L.
Roberts	12	U. Michigan
Rugari	7	Yale/Brookhaven
Schirber	8	Sandia N. L.
Silvera	2	Harvard U.
Southon	4	McMaster U.
Wiesmann	1	Brookhaven N. L.

Appendix A, List 5, shows the papers tallied for these 20 items. Some groups published more than one paper, but only one is listed in Appendix A.

Appendix A. Detailed Lists

List 1. The 467 journals in the LENR-CANR database

21st Century Sci. & Technol.	Acta. Metall.	Analog Science Fiction and Fact
Accaio Inossid.	Adv. Hydrogen Energy	Angew. Chem. Int. Ed. Engl.
Accountability Res.	Adv. in X-ray Analysis	Ann. Nucl. Energy
Acta Mater.	Akad. Nauk USSR, Fiz.-Mat. Nauk	Ann. Rev. Astr. Astrophys.
Acta Metall.	Alchemy Today	Annu. Rep. Osaka Prefect. Radiat. Res. Inst.
Acta metall. Mater.	Am. J. Applied Sci. 2	Annu. Rev. Mater. Sci.
Acta Phys. Hung.	Am. J. Sci.	Appl. Radiat. Isot.
Acta Phys. Pol. A	Am. Scholar	Appl. Surf. Science
Acta Sci. Nat. Univ. Norm. Hunanensis	Am. Sci.	Astrophys. J.
Acta Univ. Lodz., Fol. Phys.	An. Fis., Ser. B	At. Energy
	Anal. Chem.	
	Anal. Sci. & Technol.	

Atom. Tekh. za Rubez.
 Atomwirtsch. Atomtech.
 Aust. J. Chem.
 Aust. Phys.
 Beijing Shifan Daxue
 Xuebao. Ziran Kexueban
 Ber.
 Ber. Bunsenges. Phys.
 Chem.
 Berichte
 Bunsengesellschaft
 Braz. J. Phys.
 Bull. Chem. Soc. Japan
 Bull. Electrochem.
 Bull. Faculty of Eng.,
 Hokkaido Univ,
 Bull. Inst. Chem. Res.,
 Kyoto Univ.
 Bull. Sci. Tech. Soc.
 Bull. Soc. Roy. Sci. Liege
 Bull. Univ. Osaka Prefect.,
 Ser A
 Bulletin of Science,
 Technology and Society
 Busshitsu Kogaku Gijutsu
 Kenkyusho Hokoku
 C. R. Acad. Sci., Ser. 2
 CALPHAD
 Can. J. Phys.
 Canadian J. Chem.
 Carbon
 Catalysis Lett
 Centaurus
 Chem. & Ind.
 Chem. Eng. News
 Chem. Express
 Chem. Health & Saf.
 Chem. Health Safety
 Chem. Innov.
 Chem. J. Chin. Univ
 Chem. Labor Betr.
 Chem. Lett.
 Chem. Listy
 Chem. Phys. Lett.
 Chem.-Tech. (Heidelberg)
 Chemiker-Zeitung
 Chim. Ind. (Milan)
 Chim. Ind. (Milan)
 Chimia
 Chin. J. At. Mol. Phys.
 Chin. J. Nucl. Phys.
 Chin. Phys. Lett.
 Chin. Sci. Bull.
 Cienc. Tecnol. Mater.
 Cold Fusion
 Colloid J. USSR
 Colloids Surf.
 Commun. Monogr.
 Commun. Theor. Phys.
 (China)
 Corrosion
 Crit. Stud. Mass Commun.
 Curr. Sci.
 Curr. Topics Electrochem.
 Czech. J. Phys.
 Defect and Diffusion
 Forum
 Denki Kagaku
 Denki Kagaku oyobi
 Kogyo Butsuri Kagaku
 Denki Tsushin Daigaku
 Kiyo
 Denshi Gijutsu Kenkyusho
 Iho
 Denshi Gijutsu Sogo
 Kenkyusho Iho
 Deutsche Apotheker
 Zeitung
 Dokl. Akad. Nauk SSSR
 Dokl. Akad. Nauk [Tekh.
 Fiz.]
 Dokl. Akad. Nauk SSSR
 Dokl. Akad. Nauk SSSR
 Fiz. Khim.
 Dokl. Akad. Nauk Ukr.
 Doky. Akad. Sci. SSSR
 Electrochim. Acta
 Elektrokimiya
 Energ. Nucl. (Rome)
 Energiespektrum
 Environ. Res. Forum
 Ettore Majorana Int. Sci.
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List 3. Authors and co-authors of the peer-reviewed papers in List 2

Agelao, G.	Daddi, L.	Habel, R.
Aida, M.	Das, D.	Haram, S. K.
Akimoto, T.	Dash, J.	Hatozaki, O.
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 Scaramuzzi, F.
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Sona, P. G.
Spallone, A.
Srinivas, K. C.
Srinivasan, M.
Srivastava, O. N.
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List 4. Peer-reviewed journals of the papers in List 2

Adv. Hydrogen Energy	J. Chim. Phys.	Nucl. Fusion Plasma Phys.
Bull. Chem. Soc. Japan	J. Electroanal. Chem.	Nuovo Cimento Soc. Ital.
Bull. Inst. Chem. Res., Kyoto Univ.	J. Eng. Env. Sci.	Fis. A
Chin. J. At. Mol. Phys.	J. Fusion Energy	Oyo Butsuri
Curr. Sci.	J. High Temp. Soc.	Petrotech. (Tokyo)
Curr. Topics Electrochem.	J. Hydrogen Energy	Phys. Lett. A
Electrochim. Acta	J. New Energy	Poverkhnost
Europhys. Lett.	J. Phys. Chem.	Proc. Electrochem. Soc.
Fusion Eng. Des.	J. Phys. Chem. B	Proc. Jpn. Acad., Ser. B
Fusion Technol.	J. Phys. D: Appl. Phys.	Russ. J. Phys. Chem.
Hyomen Gijutsu	Jpn. J. Appl. Phys. A	Solid State Ionics
Hyomen Kagaku	Jpn. J. Appl. Phys. Part 2	Sov. Tech. Phys. Lett.
Indian J. Technol.	Kaku Yugo Kenkyu	Tech. Phys.
Int. J. Appl. Electromagn. Mater.	Kinki Daigaku	Thermochim. Acta
Int. J. Hydrogen Energy	Genshiryoku Kenkyusho	Trans. Fusion Technol.
Int. J. Soc. Mat. Eng.	Nenpo	Xibei Shifan Daxue
Resources	Koon Gakkaishi	Xuebao, Ziran Kexueban
	Naturwiss.	Xibei Shifan Xuebao.
	Netsu Sokutei	Ziran Kexueban

List 5. Famous failed neutron studies from 1989

1. Albagli, D., et al., *Measurement and analysis of neutron and gamma-ray emission rates, other fusion products, and power in electrochemical cells having Pd cathodes*. J. Fusion Energy, 1990. **9**: p. 133.
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9. Guilinger, T.R., et al., *Investigation of Fusion Reactions in Palladium and Titanium Tritide Using Galvanostatic, Coulometric, and Hydrogen Permeation Techniques*. J. Fusion Energy, 1990. **9**(3): p. 299.
10. Hayden, M.E., et al., *High precision calorimetric search for evidence of cold fusion using in situ catalytic recombination of evolved gases*. J. Fusion Energy, 1990. **9**(2): p. 161.
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13. Porter, J.D., et al., *Limits on electromagnetic and particle emission from palladium-D₂O electrolytic cells*. J. Fusion Energy, 1990. **9**: p. 319.
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16. Rugari, S.L., et al., *Upper limits on emission of neutrons from Ti in pressurized D₂ gas cells: A test of evidence for 'cold fusion'*. Phys. Rev. C: Nucl. Phys., 1991. **43**: p. 1298.
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19. Southon, J.R., et al., *Upper limit for neutron emission from cold deuteron-triton fusion*. Phys. Rev. C: Nucl. Phys., 1990. **41**(5): p. R1899.

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Appendix B. Evaluations made by Britz versus those by Rothwell

This Appendix presents details and examples from section 3.

Evaluating these papers is not easy. Many papers are ambiguous, so assigning a simple positive/negative/undecided grade to them is an oversimplification. Some papers report a split decision. Botta¹⁴ reported no neutrons with palladium, but with titanium: “2.5 MeV neutron emission, with a signal having a statistical significance of $\sim 5 \sigma$.” A single grade for this paper seems inadequate, but I would call it positive, whereas Britz tagged it undecided.

In 1990, Britz reported there were 75 positive papers, 76 negative ones, and 41 in which the authors were undecided, or did not see significant results. This struck me as too many indecisive results. Researchers who do not see clear results one way or the other often refrain from publishing anything. I examined some of the undecided papers and in my opinion several of them should be reassigned to the positive column.

I did not examine many samples of the 76 papers that Britz puts in the “negative” column, but I expect he classified them correctly. Britz defines a “negative” paper as one that calls into question the very existence of cold fusion. Note the critical difference between a null result and this kind of “negative” one. A researcher will normally report with caution: “I saw no excess heat or neutrons, but that does not mean there can be no neutrons. My result has to be compared and contrasted with positive results to see what we can learn, to determine whether the effect is real or an artifact.” This is a null result which Britz puts in the “undecided” column. Some researchers took the “negative” hard line instead: “I saw no neutrons and therefore the effect does not exist and any other researchers who report neutrons or heat must be mistaken.” This assertion, that my results automatically overrule yours, is a violation of the scientific method.

Here are some examples of “undecided” papers.

Bushuev:¹⁵

Several instances of neutron bursts were recorded. The effect is unstable and not reproducible so no definite conclusions can be made about the origin of the effect or its magnitude. . . .

The above results were obtained in April-May 1989 but, because they were not reproducible, they have not been published until now. However, recent publications [4,5] reporting pulsed neutron emission during the electrolysis of heavy water with palladium and titanium cathodes have stimulated the publication of the present results.

I agree with Britz that this is undecided, although I would say it leans positive. I think the author would call it an interesting result that merits further investigation. There are degrees and shades of indecision ranging from muddled indecision to lively, well-considered, cautious indecision. As noted above, researchers usually refrain from publishing inconclusive results. Bushuev *et al.* held this report back until they learned that two other groups had seen similar results.

Britz graded a paper by Karabut *et al.*¹⁶ as undecided. This seemed odd to me, because I am familiar with Karabut's work, and because Britz's own description of the paper makes it sound positive:

A chamber with a Pd foil of 0.1-1 mm thickness in an atmosphere of D2 at 3-10 Torr was used. Thermistors measured the foil temperature and this served as calorimeter. Also in the chamber were detectors for neutrons, gammas and charged particles (cp's) as well as x-rays. . . . During running, excess heat, neutrons, gammas and cp's were detected. These parameters were however not in the ratios expected from a fusion reaction. Postmortem examination of the foil revealed some increase in (3)He and an increase by factors of 4-100 in (4)He. All nuclear products, however, were at levels 3-4 orders of magnitude lower than commensurate with excess heat. The authors regard the calorimetry results as promising.

The abstract to the paper itself says:

New results for glow discharge in deuterium calorimetry are presented. In separate experiments a heat output five times exceeding the input electric power was observed. The result for the charged particle spectrum measurement is presented. Charged particles with energies up to 18 MeV and an average energy of 2—4 MeV were seen. Beams of gamma-rays with energies of about 200 keV and a characteristic X-ray radiation were registered. The summed energy of the registered products is three orders short of the values needed to explain the calorimetric results.

Their conclusion raises some questions, but not what I consider doubts:

Many new questions arise with the latest results. The trigger mechanism of the nuclear reaction still remains unclear. As we already pointed out charged particles with a good portion of alphas are found in quantities 3–4 orders short of those needed to explain the excessive heat. We did not measure the electron flows in our work and this still leaves the possibility of K-electron capture with a radioactive isotope formation with a consequent beta decay with large energy release. A more plausible scenario is that the main mass of the charged particles does not leave the cathode. . . . Anyway, the calorimetric results are promising by themselves.

I looked up first 54 papers in the bibliography marked “undecided.” I could not evaluate 5 of them because I do not have copies or they are in languages I do not understand. Of the remaining 49:

27 (55%) I agree are undecided, although 7 might fit the “no evaluation” category better

4 (8%) seem “negative” ruling out the possibility that cold fusion can exist

18 (37%) are positive in my opinion

Some of the 18 papers I reviewed and moved into the positive column make such strong assertions that I cannot imagine why Britz considers them inconclusive, or even marginal. Here are some examples. De Ninno:¹⁷

The model is not concerned with heat production; however, many experiments indicated a correlation between excess heat production and deuterium concentration in the cathode. [8,9] Then, it must be very useful to know exactly the concentration profile inside the electrode.

Gozzi: ¹⁸

We present the results of a new experiment with our multicell set-up implemented with mass spectrometric measurements of ⁴He and a highly improved neutron detector. The excess heat measured is comparable with the results of other laboratories, but no neutrons were found and the tritium excess was lower than expected from the power excess, ⁴He has been measured in the electrolysis gases and a tentative correlation of ⁴He with excess power is presented and discussed.

Our calorimetric results show an excess power which is quite in line with the other positive results reported up to now. In particular, if we consider the power excess per unit electrode surface area as a function of the current density, fair agreement is found with the general behaviour first pointed out by Storms [27] by considering that many calorimetric measurements on the Pd + D₂O electrolysis were carried out at room temperature in various laboratories where different calorimetric devices and procedures were used. With regard to the nuclear products, in the present experiment a lack of neutrons and a low tritium excess on two out of four cells has been observed, in contrast with what is expected on the basis of d,d reactions. Our results confirm the previous findings which exhibited such a large unbalance.

Britz's own description of Granada *et al.* ¹⁹ sounds positive to me:

. . . Results show modest neutron fluxes above the background, but statistical analysis shows that it is about 95% certain that the results are not simply noise. The authors do not commit themselves to a neutron rate emission because of experimental uncertainties but they do seem 95% certain that neutrons were emitted whenever current flowed.

I agree that is an accurate summary of the paper, and I would classify it "positive." Granada *et al.* concluded: "Even though these results alone cannot be conclusive to settle the issue of the cold fusion phenomena, they constitute a piece of experimental evidence which lends support to the existence of such processes."

When Britz reviewed this paper in manuscript, he decided to reclassify Karabut and Granada as positive. The totals in this paper have not been adjusted to reflect these changes.

Based on this sample of 49, I would reassign the 1,390 papers as shown in Table 7. Although we differ in our evaluation of some papers, my overall tally of positive/negative/undecided is within 5% of Britz's. The biggest difference between us is in the conclusion we draw from the literature as whole: I am convinced that cold fusion does exist, but Britz does not think it exists. To be precise, he says he is "not sure whether it [exists] or not" He says he is: "[not] among those who totally deny that may be a new phenomenon. I do believe there may well be." In the past he said: "There are enough quality positives for the original F&P system (tritium, some XS [excess] heat) to force me to give it a (small) chance." ²⁰

Table 7. Evaluations by Britz and Rothwell

		Britz evaluation	Percent	Rothwell projected evaluation	Percent
res+	a positive result	503	36%	~569	41%
res-	a negative result	281	20%	~293	21%
res0	undecided	151	11%	~83	6%
No evaluation		455	33%	~445	32%

Britz said that he did not grade papers according to his own personal opinion, and as proof of that, he pointed out that he marked some papers positive even though in his opinion these papers were sloppy or “somewhat ridiculous.” I think his personal opinions have sometimes clouded his evaluation of the author’s intent. When an author expresses doubts about one aspect of the work, or says “many new questions arise” or “the trigger mechanism of the nuclear reaction still remains unclear,” Britz sometimes seizes upon such comments as a reason to call the paper undecided.

Incidentally, I agree wholeheartedly that many ridiculous positive papers have been published. So have many ridiculous negative papers, especially ones that attempt to overrule experimental evidence by appealing to theory. In groundbreaking new research people often make mistakes that seem ridiculous in retrospect. Segre described the work of Hahn and Meitner: “Their early papers are a mixture of error and truth as complicated as the mixture of fission products resulting from the bombardments. Such confusion was to remain for long time a characteristic of much of the work on uranium.”²¹ There are also many negative experimental papers that seemed important in 1989 but which were subsequently shown to be in error (such as the ones in section 4). They are not ridiculous, but they have no significance.

Let me speculate about the likely cause of our disagreements. I feel that Britz is biased because he does not believe cold fusion exists. Britz is the only electrochemist I know who has read the literature extensively and yet who does not believe cold fusion is real. The others agree with Gerischer,²² who wrote in 1991: “there is now undoubtedly overwhelming indications that nuclear processes take place in the metal alloys.”

Frankly, I find it amazing that Britz has read so many positive experimental papers and yet he remains unconvinced. He may consider some of the 291 positive papers “sloppy” or “quite ridiculous” (as do I) but if cold fusion is not real, that means all positive papers are sloppy or ridiculous, especially the ones that report strongly positive results, by Fleischmann, McKubre, Miles, Mengoli, Bockris, Will, Dardik and others. If cold fusion does not exist, then Dardik mistook 1 W of heat for 25 W. I find it inconceivable that any scientist in the last 230 years could have made such a large error, and even more unthinkable that a group of roughly 2,000 scientists have made such mistakes for 20 years. Someone would have caught some of these errors by now, but no paper has been published pointing out errors in any major study. To my knowledge, Britz himself has not found any such errors.

Even discounting the results we agree are “ridiculous,” that leaves hundreds of solid papers. Most scientists look for five or at most 10 solid, independent, high signal-to-noise replications before they believe an effect is real. Britz has read hundreds of solid replications yet he does not believe a single one of them. If even one of these tests is valid, that makes cold fusion real, just

as one airplane flight in 1903 proved that airplanes can fly.¹ Britz considers himself “neutral” and in the past he said he is “trying to be evenhanded.”²³ I believe this is a Middle Ground logical fallacy (also called Fallacy of Moderation).²⁴ A judge can be evenhanded and yet still find a defendant guilty when the evidence is “undoubtedly overwhelming.” Most cartographers are neutral, unbiased and moderate but none believes that the world is flat. From my point of view, Britz is biased and he is grasping at straws to find reasons to deny that cold fusion is real.

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¹ Depending on the nature of the experiment, a claim may require some number of replications before it can be believed. But in some cases, the proof is dramatic and irrefutable on its own. The Kitty Hawk airplane flight of 1903 is an example. The fact that dozens of airplanes failed to get off the ground before 1903 has no relevance. In my opinion, the experiments reported by McKubre, Miles and several others would be convincing even without hundreds of other independent replications.